## Exhibit A

## 1B0201

Dr. Norman Blum's Proof of SIPA Claim

**CUSTOMER CLAIM** 

Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Benkruptcy Court for the Southern District of New York

Claim Number:

005863

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

RECEIVED

**DECEMBER 11, 2008** 

MAR 032009

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

Cell

OFFICE:

HOME:

Taxpaver I.D. Number (Social Security No.)

Account Number: 1B0201

NORMAN J BLUM LIVING TRUST 381 POINCIANA ISLAND DRIVE N MIAMI BEACH, FL 33160

(If incorrect, please change)

NOTE:

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of December 11, 2008\_:

a. The Broker owes me a Credit (Cr.) Balance of

b. I owe the Broker a Debit (Dr.) Balance of

Sce Attached
Addendum

502180406

	c. If you wish to repay the Debit Balance,					
please insert the amount you wish to repay and						
		attach a check payable to "Irving H. Picard, Esq.,				
		Trustee for Bernard L. Madoff Investment Securities LLC."				
		If you wish to make a payment, it must be	enclosed			
		with this claim form.	\$	A		
	d.	If balance is zero, insert "None."				
2.	Clair	m for securities as of December 11, 2008:				
PLEASE	DO	NOT CLAIM ANY SECURITIES YOU HAVE	IN YOUR PO	SSESSION.		
			YES	NO		
	á.	The Broker owes me securities	X	<u> </u>		
	b.	I owe the Broker securities		_X		
	c.	If yes to either, please list below:				
		See Attached Addendum		per of Shares or mount of Bonds		
Date of Transaction (trade date)		Name of Security	The Broker I Owe Owes Me the Broker (Long) (Short)			
	-	See Attached Addendum	Total \$1	027,795.20		
				·		
	F					
				<del></del>		
claim a	nd s	umentation can speed the review, allowa horten the time required to deliver your	securities an	d cash to you.		

claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

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information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	NO
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	-	X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		<u>X</u>
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		_X_
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		_X_

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9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.
	Please list the full name and address of anyone assisting you in the preparation of this claim form: My Son Keith Blum 1429 Share line Way Hallywood FI 33019.
	annot compute the amount of your claim, you may file an estimated claim. In that lease indicate your claim is an estimated claim.
CONVI	A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR CONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.
	OREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY MATION AND BELIEF.
Date _	2-1-CR Signature Muse Signature
Date _	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

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#### ADDENDUM TO CUSTOMER CLAIM FORM

## IN RE BERNARD L. MADOFF INVESTMENT SECURITIES LLC (In Liquidation)

CLAIMANT: NTC & Co.

FBO Norman J. Blum (Acct No. 111188)

P.O. Box 173859 Denver, Co 80217

(Norman J. Blum Telephone No.

#### MADOFF ACCOUNT NO: 1-B0190

This addendum to claim form is intended to respond to the further information requests set forth in the instructions accompanying the customer claim form as such instructions relate to claims for securities held by the Broker as of December 11, 2008 pursuant to "Item 2".

- 1. The last account statement provided by the Broker to me, dated as of November 30, 2008, is attached to the claim form as Exhibit A. This statement lists the securities held in my account as of November 30, 2008 and indicates they had a net fair market value of \$2,555,879.03 as of such date. I have no records of transactions between November 30, 2008 and December 11, 2008, and am making a claim for the securities listed on the November 30, 2008 statement pursuant to Items 2(a) & (c) of the claim form.
- 2. My account was subdivided into two subaccounts one of which included short positions. A summary of the detail from the November 30, 2008 statements of the two subaccounts follows:

Subaccount	Long	Short	Total
1-B0190-3-0	\$2,594,639.03	\$0.00	\$2,594,639.03
1-B0190-4-0	\$94,050.00	(\$132,810.00)	(\$38,760.00)
Total			# * · · · · · · · · · · · · · · · · · ·
Securities			\$2,555,879.03

3. There is no difference between securities on the last account statement provided to me by the Broker (November 30, 2008) and securities claimed (December 11, 2008).

Addendum to SIPC Claim Form

Claimant:

Norman J. Blum Living Trust Madoff Account No. 1-B0190

(Norman J. Blum Telephone

3. My account was subdivided into two subaccounts one of which included short positions. A summary of the detail from the November 30, 2008 statements of the two subaccounts follows:

Subaccount	Long	Short	Total
1-B0201-3-0	\$1,044,115.20	\$0.00	\$1,044,115.20
1-B0201-4-0	\$39,600.00	(\$55,920.00)	(\$16,320.00)
Total Securities	A. L. C.		\$1,027,795.20

- 4. There is no difference between securities on the last account statement provided to me by the Broker (November 30, 2008) and securities claimed (December 11, 2008).
- 5. I have been unable to identify all original investment account documentation. The following table indentifies the contributions and withdrawals for which I was able to locate documentation or otherwise remember to the best of my recollection (I had a severe stroke in April 1994 and my long-term and short-term memory were significantly affected):

Date	Contribution	Withdrawal	Notes
Prior to January 10, 2001			I am unable to locate records prior to January 10, 2001 and I have limited recollection of events during such time period.
January 10, 2001		\$1,887.60	
March 6, 2001		\$3,706.65	
July, 9, 2001		\$2,400.91	
October, 9, 2001		\$2,799.39	
January 11, 2002		\$4,340.19	
April 10, 2002		\$1,555.45	
July 8, 2002		\$5,041.15	
October 7, 2002		\$6,829.50	
December 31, 2002	\$370,106.28		
January 10, 2003		\$2,739.42	
April, 9, 2003		\$11,793.51	

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Addendum to SIPC Claim Form

Claimant:

Norman J. Blum Living Trust Madoff Account No. 1-B0190

(Norman J. Blum Telephone

Date	Contribution	Withdrawal	Notes
August 19, 2003	\$51,962.888		I made a deposit of \$51,375 on June 26, 2003 to my
			account. It appears to have been mistakenly deposited in Account 1B018930 and such was corrected on August 26, 2003 when it was then properly transferred into my account (with an additional amount which appears to have been earnings for the period held in the incorrect account).
March 30, 2004	\$50,000.00		

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Addendum to SIPC Claim Form

Claimant:

Norman J. Blum Living Trust

Madoff Account No. 1-B0190\_

(Norman J. Blum Telephone

## **EXHIBIT A**

November 30, 2009 Madoff Account Statement Madoff Account No. 1-B0190

11/12

DATE

:

11/12 11/12 11/12 11/12 11/12 11/12 11/12 11612 11/12

11/12

11/12

11/12

11/12 11/12

11/12

11/12

DATE

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

11/25

11/19

11/19 11/19

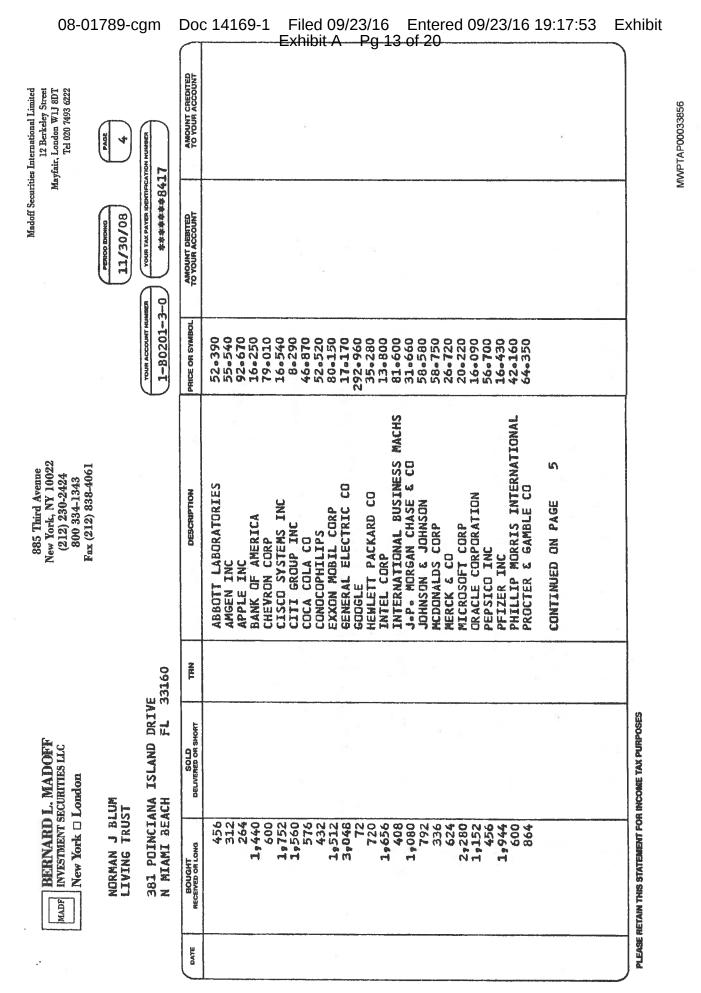
DATE

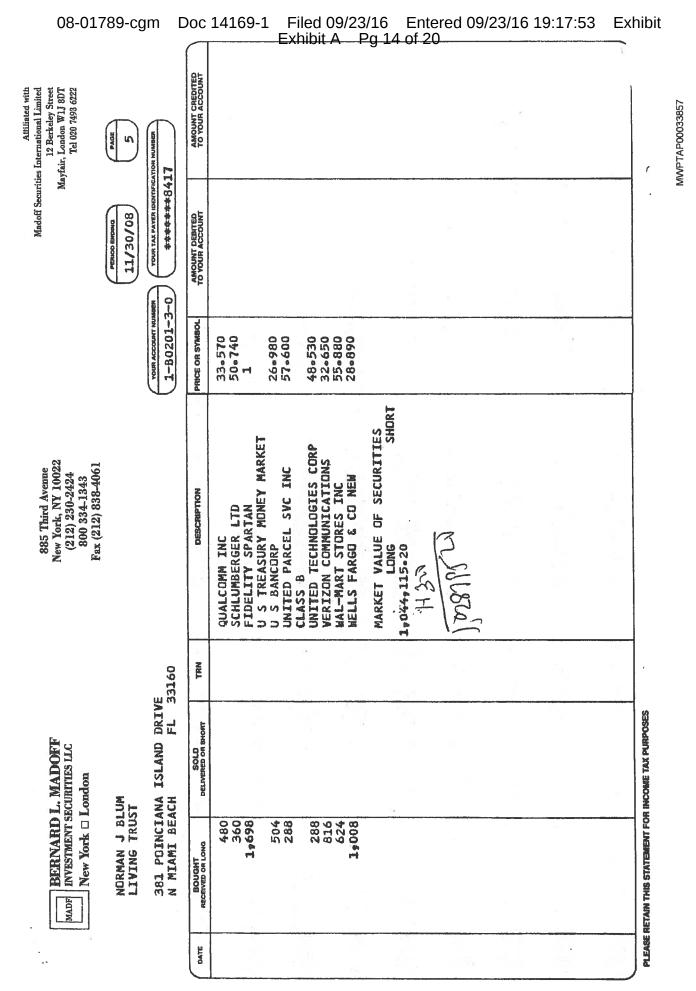
11/25

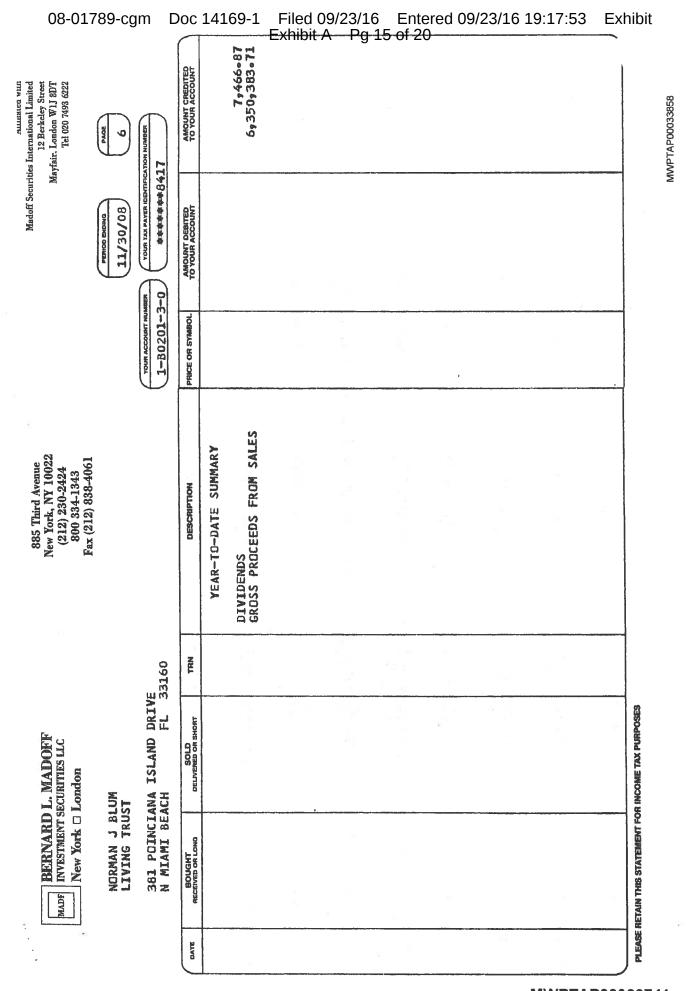
11/25

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11/28







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Addendum to SIPC Claim Form

Claimant:

Norman J. Blum Living Trust Madoff Account No. 1-B0190

(Norman J. Blum Telephone

### EXHIBIT B

November 30, 2009 Madoff Check Stub and Deposit Receipt Madoff Account No. 1-B0190

127

Thank you for banking with SunTrust For Account Information call 800.SunTrust (800.786.8787)

SUNTRUST

120 CHECK DEPOSIT

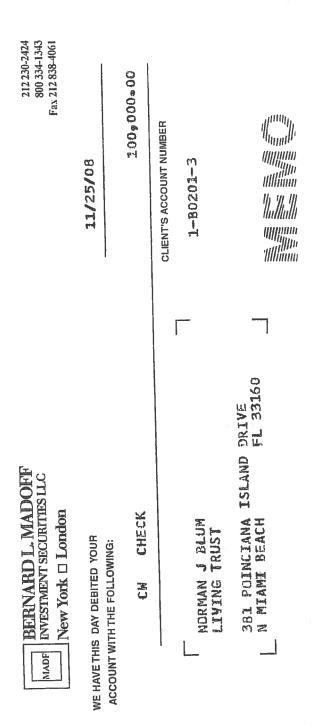
059900688663 Bus. Date 9Dec.2003 SM 258 34059907 133187 7 100.000.00 000.00

34059907 133187 7 100,000,00 TOTAL Transaction Date: 9Dec.2008 15:555:55

This is your receipt showing bank, date, time, type of account and amount.

All deposits are credited to your account subject to verification and final payment.

101829 (5/07)



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From: Origin ID: TMBA (305) 944-2711

Norman J. Blum

381 Poinciana Island Blvd

N. Miami Beach, FL 33160

Ship Date, 02MAR09 ActWgt. 0 5 LB CAD: 308600009/INET9011 Account#: S \*\*\*\*\*\*\*\*\*

SHIP TO: (888) 727-8695

**BILL CREDIT CARD** 

Irving H. Picard, Esq, Claims Pr Cn Trustee for BL Madoff Inv Sec LLC 2100 MCKINNEY AVE STE 800

**DALLAS, TX 75201** 



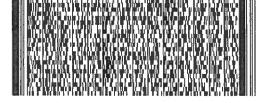
Ref# Invoice #

PO# Dept #

7963 8836 9297 0201

TUE - 03MAR

PRIORITY OVERNIGHT



XH RBDA

75201 TX-US **DFW** 



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